

Learning Particle Physics by Example

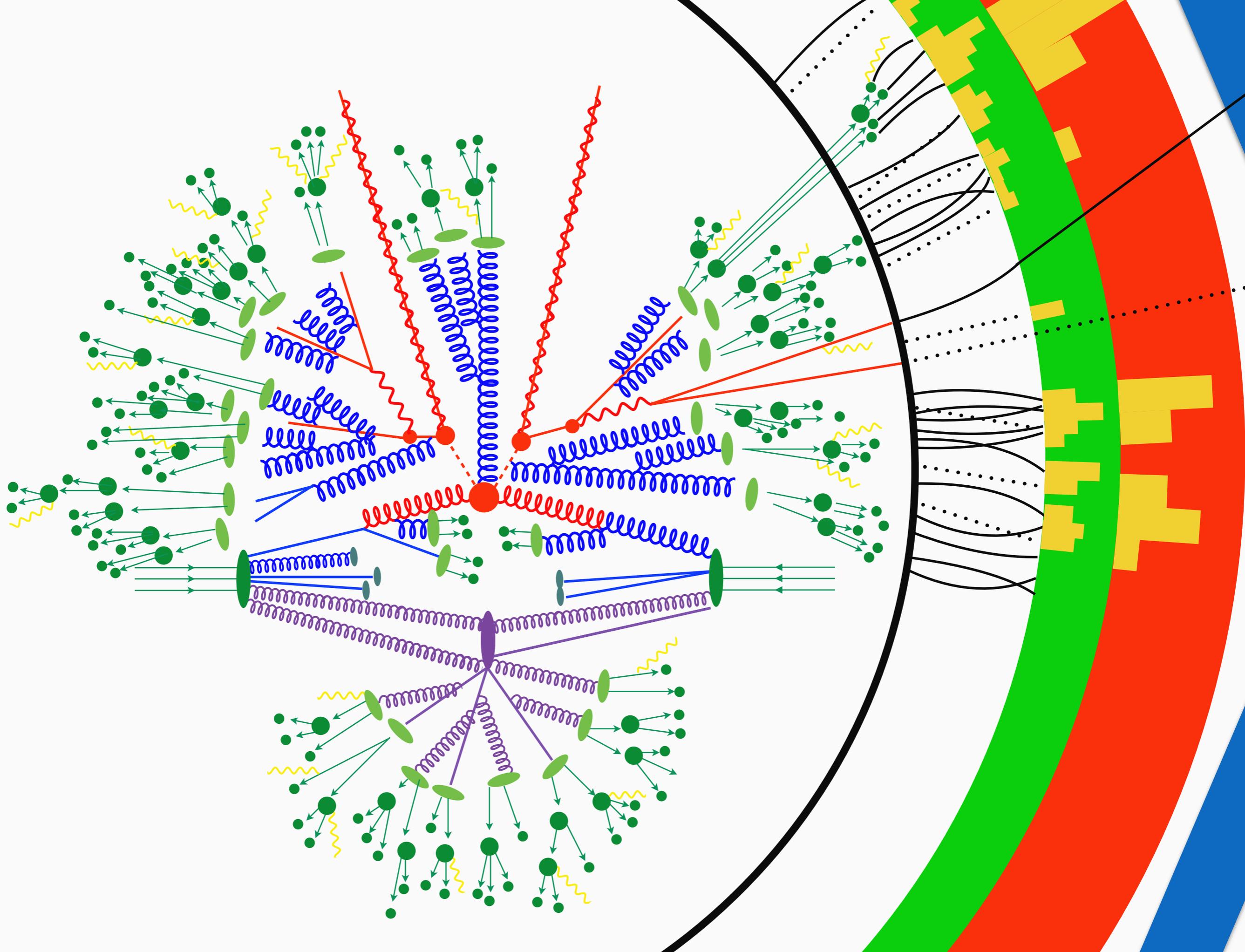
Location-Aware Generative Adversarial Networks
for Physics Synthesis



Yale



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HEP Simulation

THEORY

$$\begin{aligned}\mathcal{L} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \\ & + i\bar{\Psi}\not{D}\Psi + h.c. \\ & + \bar{\Psi}_i y_{ij} \Psi_j \phi + h.c. \\ & + \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi)\end{aligned}$$

HARD
INTERACTIONS (ME
CALCULATIONS)

HADRONIZATION &
PARTON
SHOWERING

Geant 4

DETECTOR SIM. &
INTERACTIONS

DIGITIZATION
& PILE-UP

...



Outstanding Issues

Full Simulation is slow

Detector simulation can take $O(\text{min}/\text{event})$, and ME calculations to high order in perturbation can compete for total generation time

Petabytes of Simulated Data

Large amounts of simulated data needs to be stored and transferred

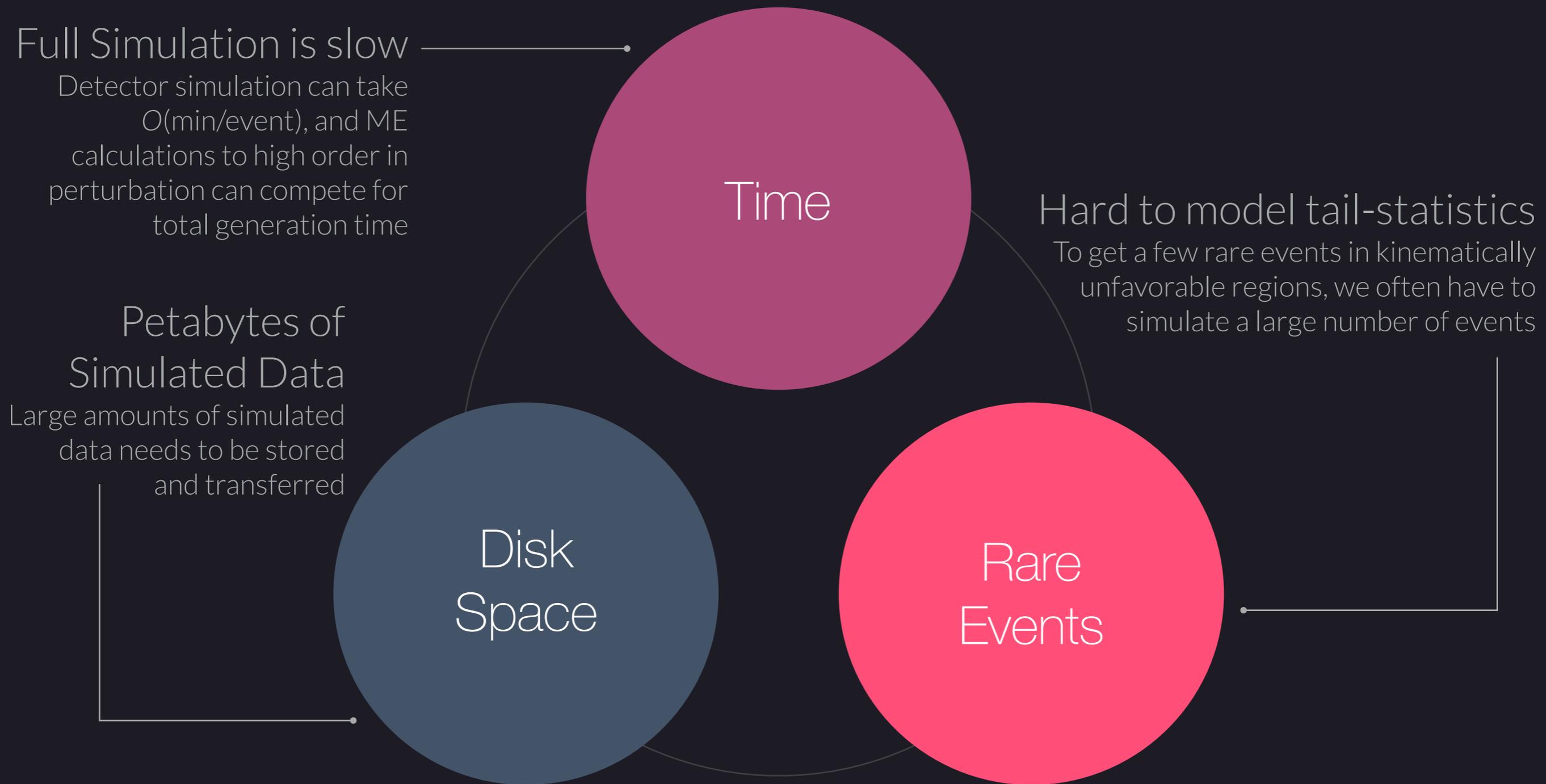
Time

Hard to model tail-statistics

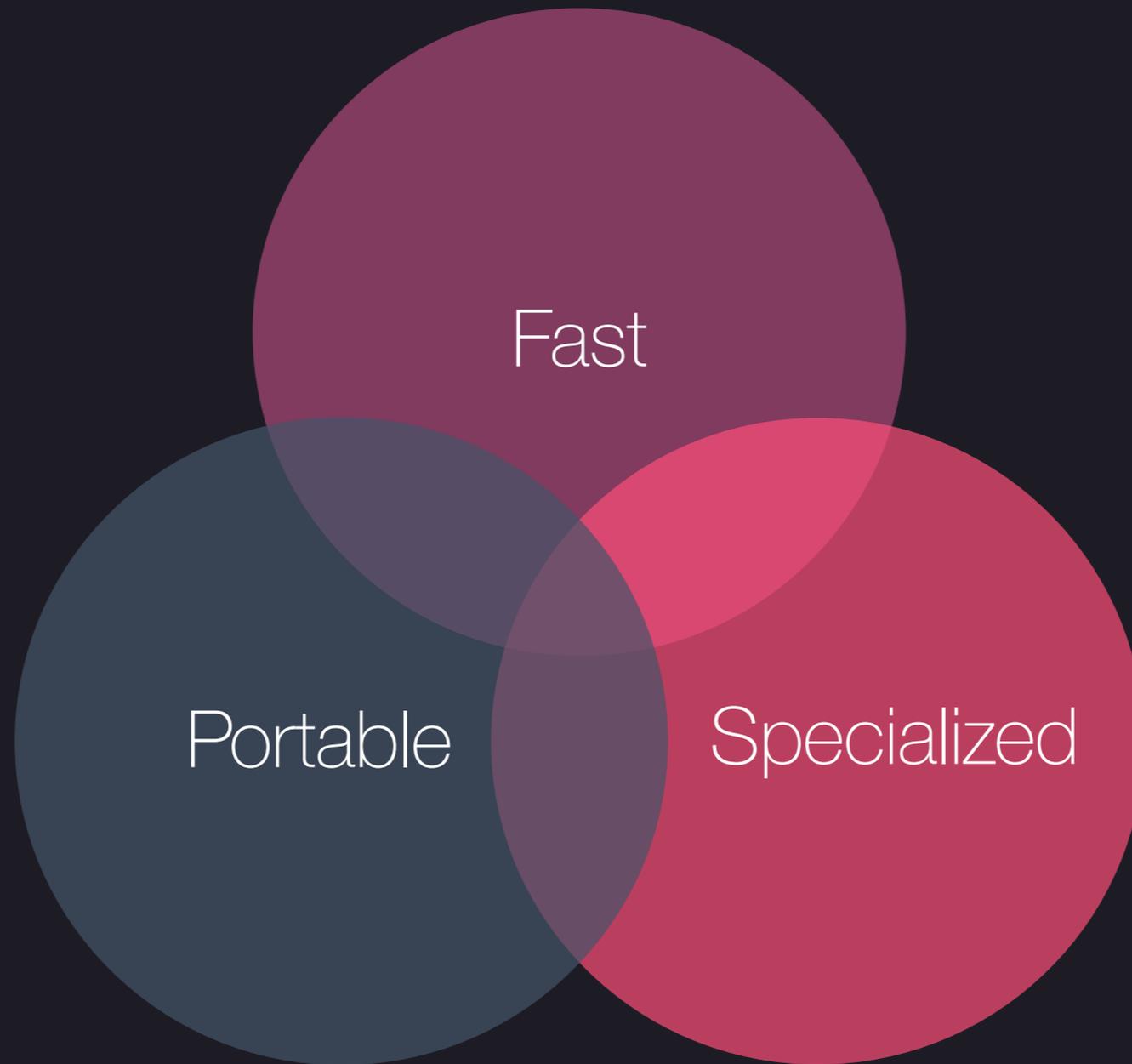
To get a few rare events in kinematically unfavorable regions, we often have to simulate a large number of events

Disk Space

Rare Events



Looking for a Solution



Generative Models

Traditional Solutions

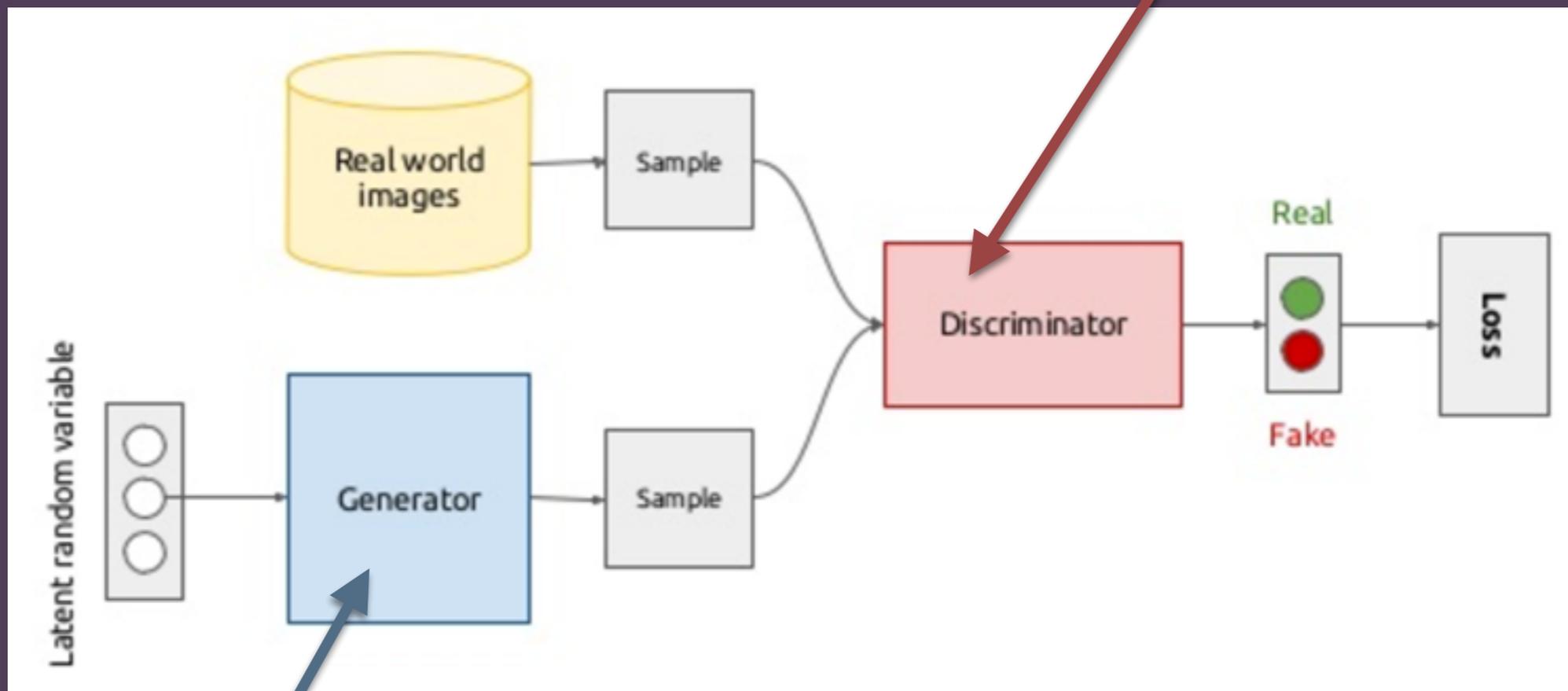
- Hidden Markov Models
- Gaussian Mixture Models
- (Restricted) Boltzmann Machines
- Helmholtz Machines

More Modern Solutions:

- Deep Belief Nets
- Variational Auto-Encoders
- Autoregressive Models (PixelCNN, WaveNet, ...)
- Generative Adversarial Networks

Generative Adversarial Networks

tries to distinguish real images from generated images



tries to turn noise into credible samples

Step 0: Simplified Task

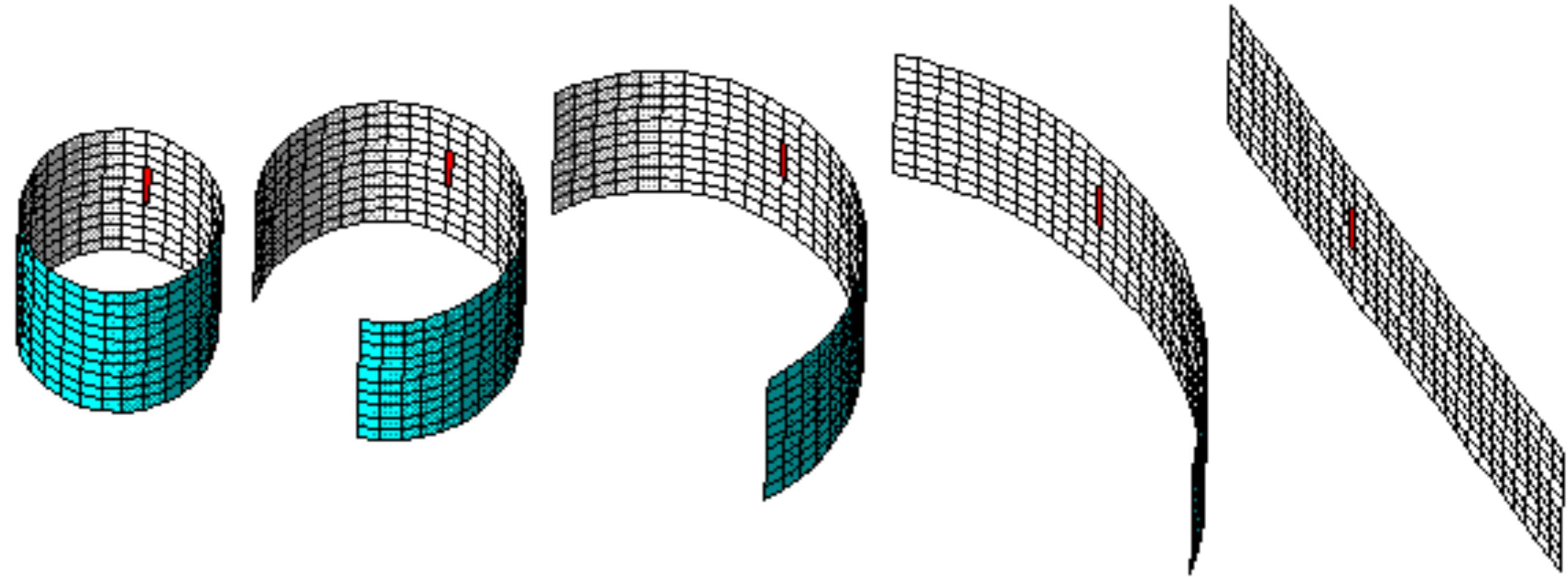


Run: 302347

Event: 753275626

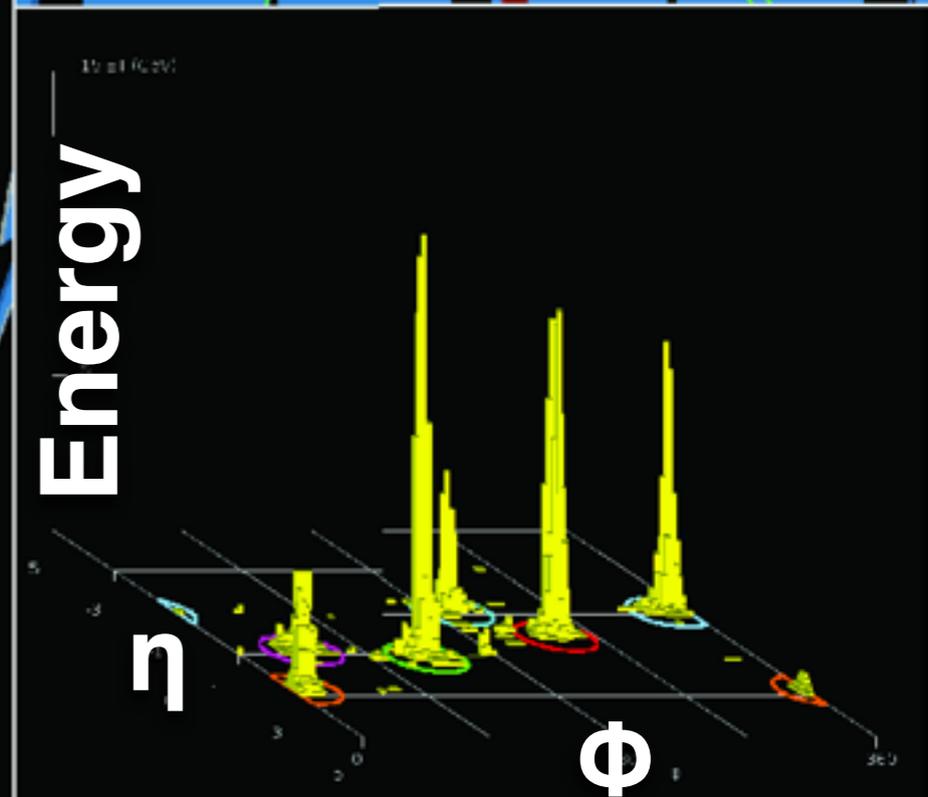
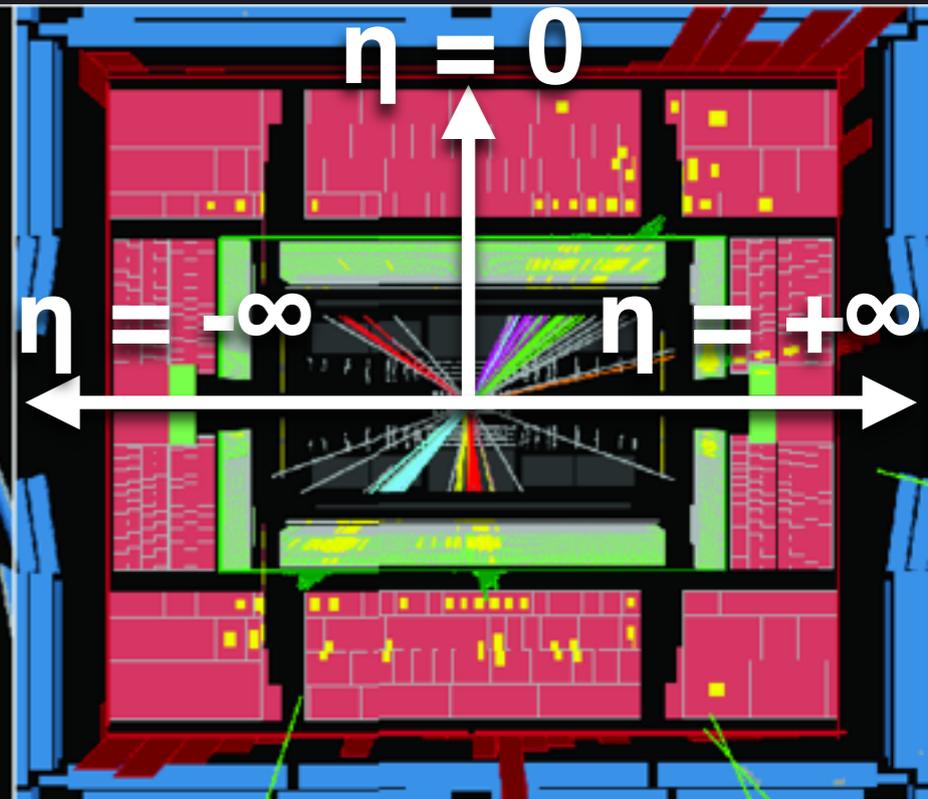
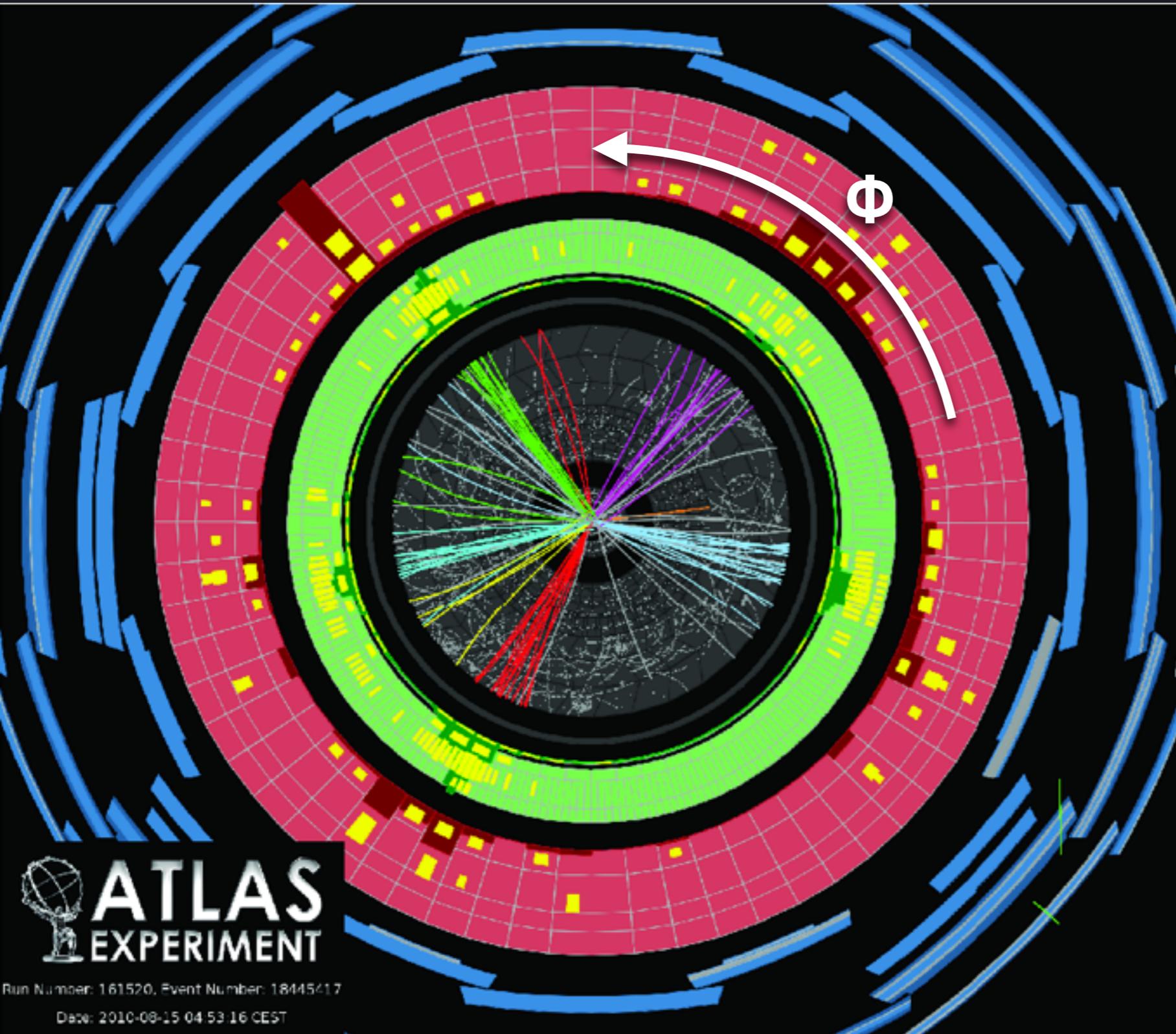
2016-06-18 18:41:48 CEST

Step 0: Simplified Task



single layer calorimeter

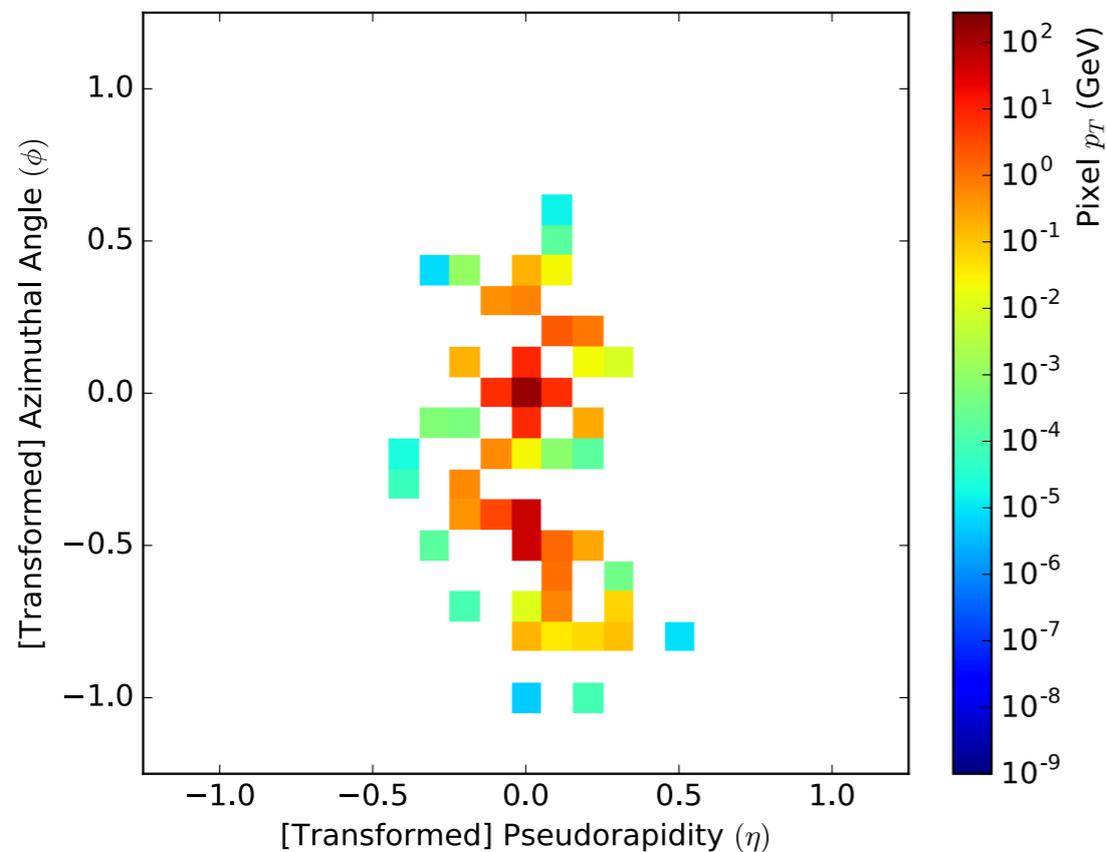
Jets



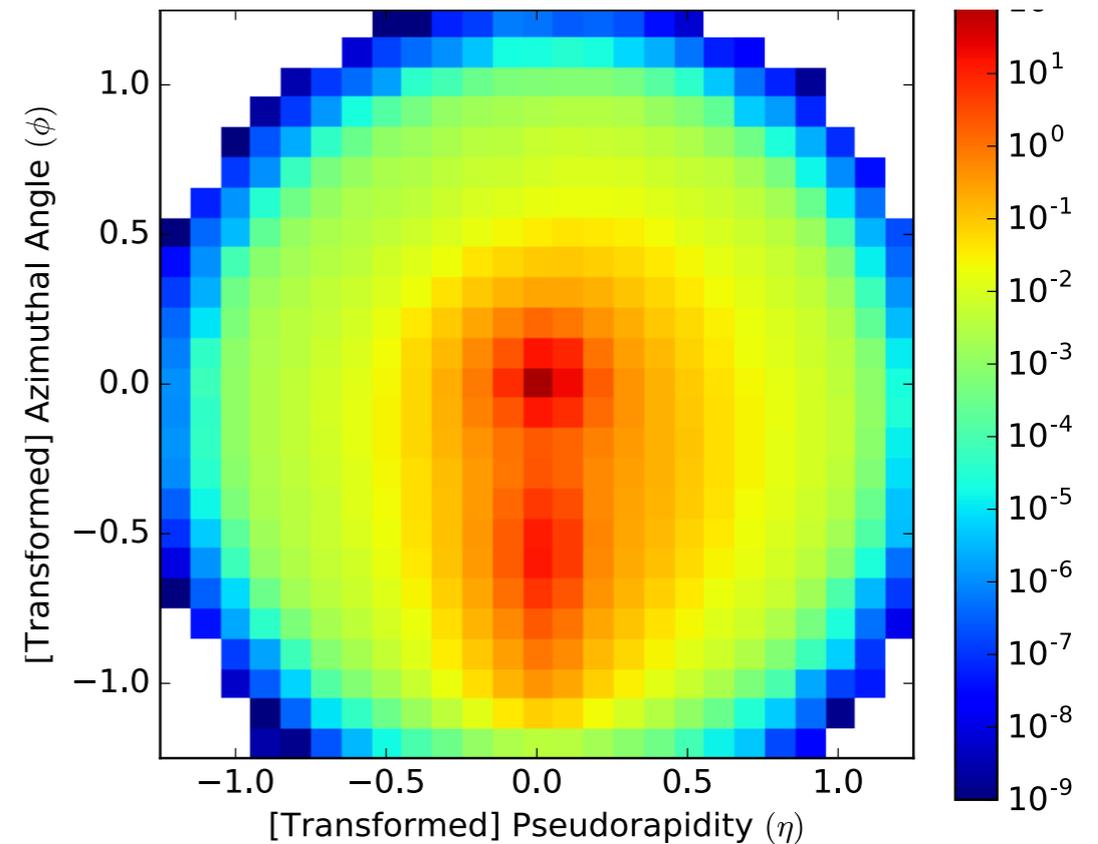
Jet Images

Pixelate \longrightarrow Translate \longrightarrow Rotate \longrightarrow Re-Grid \longrightarrow Flip

Single Jet Image



Average of Thousands of Jet Images



References:

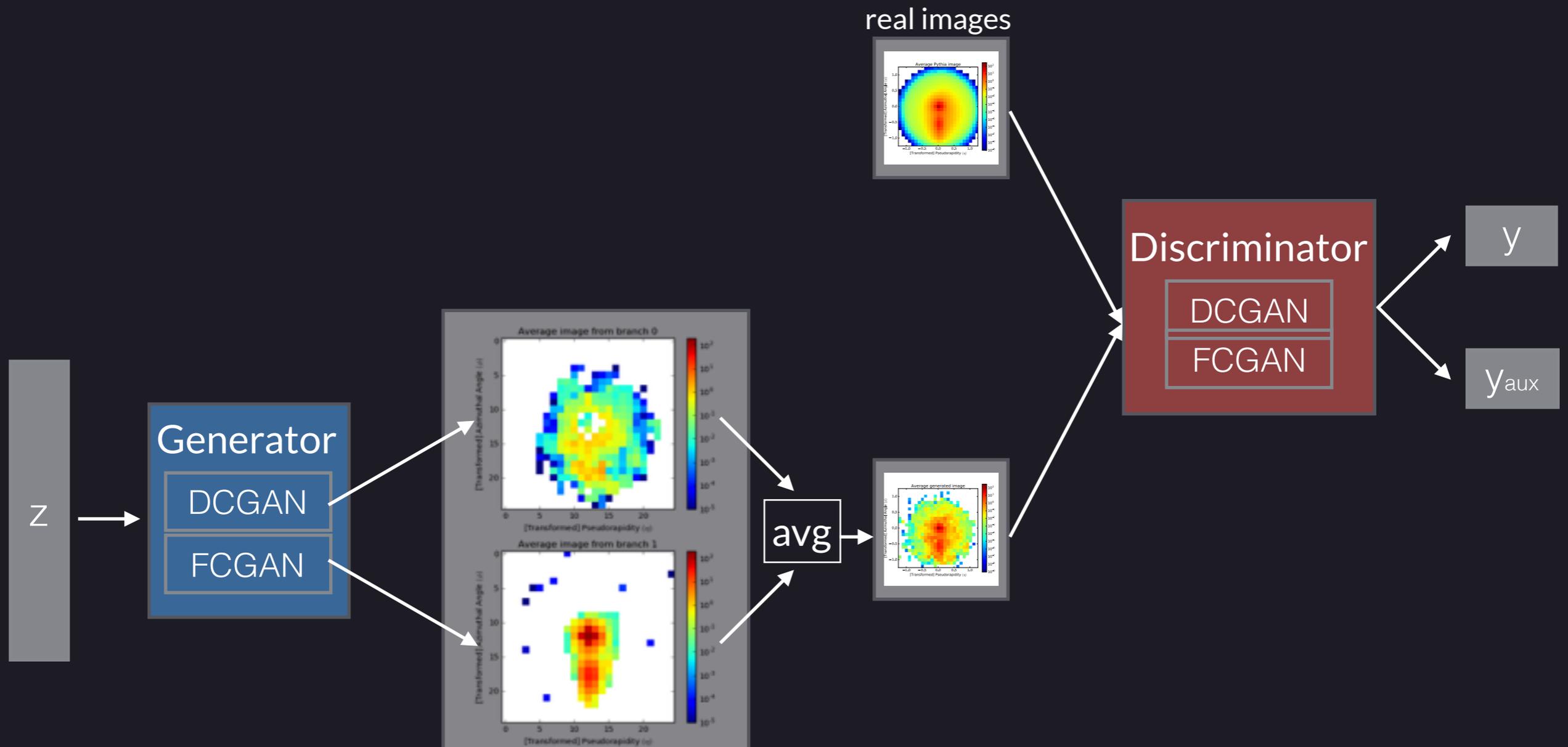
- J. Cogan, M. Kagan, E. Strauss and A. Schwartzman, *Jet-Images: Computer Vision Inspired Techniques for Jet Tagging*, JHEP 02 (2015) 118 [[1407.5675](#)].
- L. de Oliveira, M. Kagan, L. Mackey, B. Nachman and A. Schwartzman, *Jet-images — deep learning edition*, JHEP 07 (2016) 069 [[1511.05190](#)].
- L. G. Almeida, M. Backovi'c, M. Cliche, S. J. Lee and M. Perelstein, *Playing Tag with ANN: Boosted Top Identification with Pattern Recognition*, JHEP 07 (2015) 086 [[1501.05968](#)].
- P. T. Komiske, E. M. Metodiev and M. D. Schwartz, *Deep learning in color: towards automated quark/gluon jet discrimination*, [[1612.01551](#)].
- J. Barnard, E. N. Dawe, M. J. Dolan and N. Rajcic, *Parton Shower Uncertainties in Jet Substructure Analyses with Deep Neural Networks*, [[1609.00607](#)].
- P. Baldi, K. Bauer, C. Eng, P. Sadowski and D. Whiteson, *Jet Substructure Classification in High-Energy Physics with Deep Neural Networks*, Phys. Rev. D93 (2016), no. 9 094034 [[1603.09349](#)].

Samples

- Jet images produced with PYTHIA 8.219
- Two processes: QCD vs boosted W from $W' \rightarrow WZ$
- p_T range = [250, 300] GeV
- Jet clustering with anti-kt ($R=1.0$) with FASTJET 3.2.1
- Trimming by re-clustering the constituents into $R=0.3$ kt subjets and dropping those with $<5\%$ jet p_T

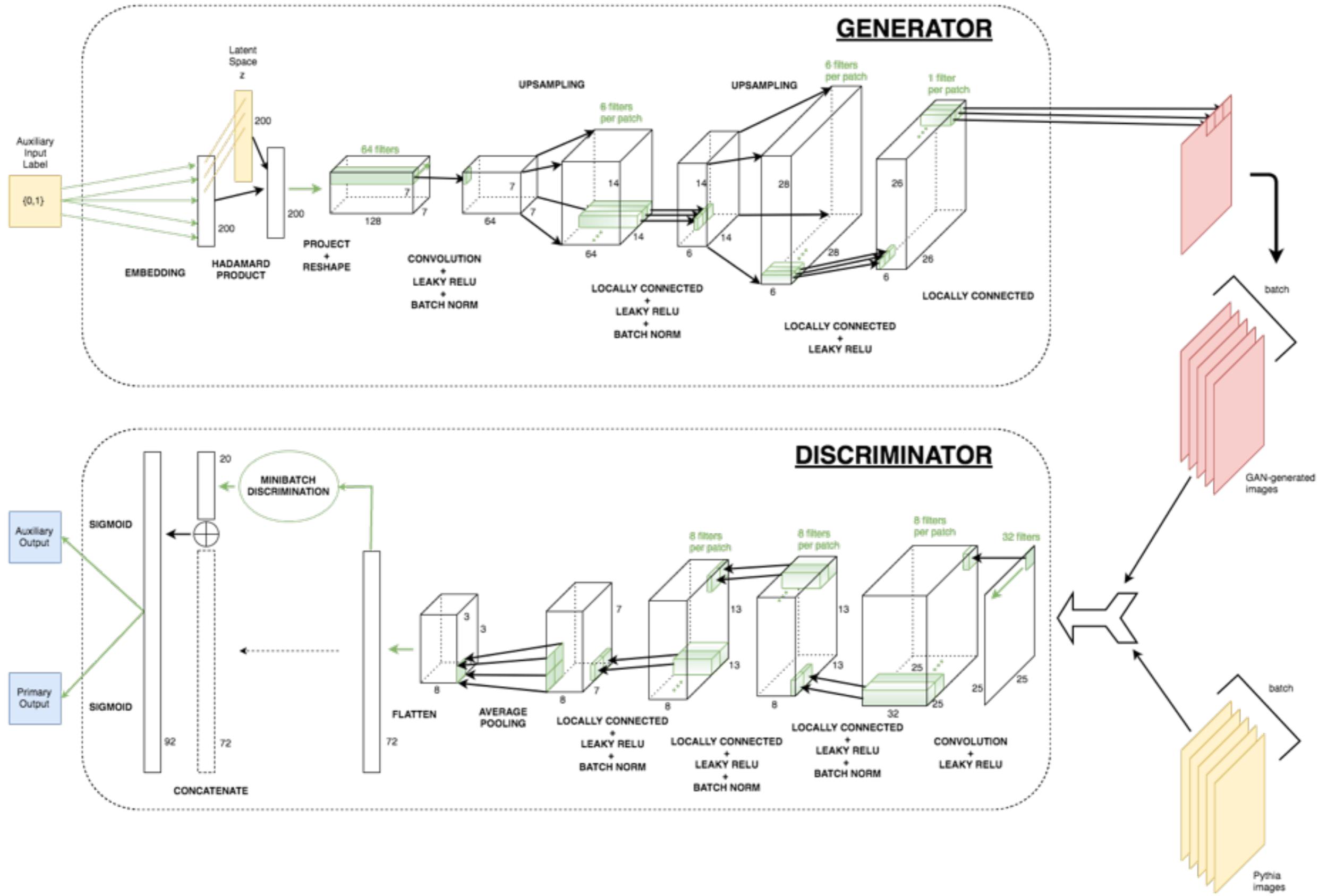
Benchmark Models

- DCGAN — convolutional layers in both G and D
- FCGAN — fully-connected layers in both G and D
- HYBRIDGAN — a combination of the two:



LAGAN

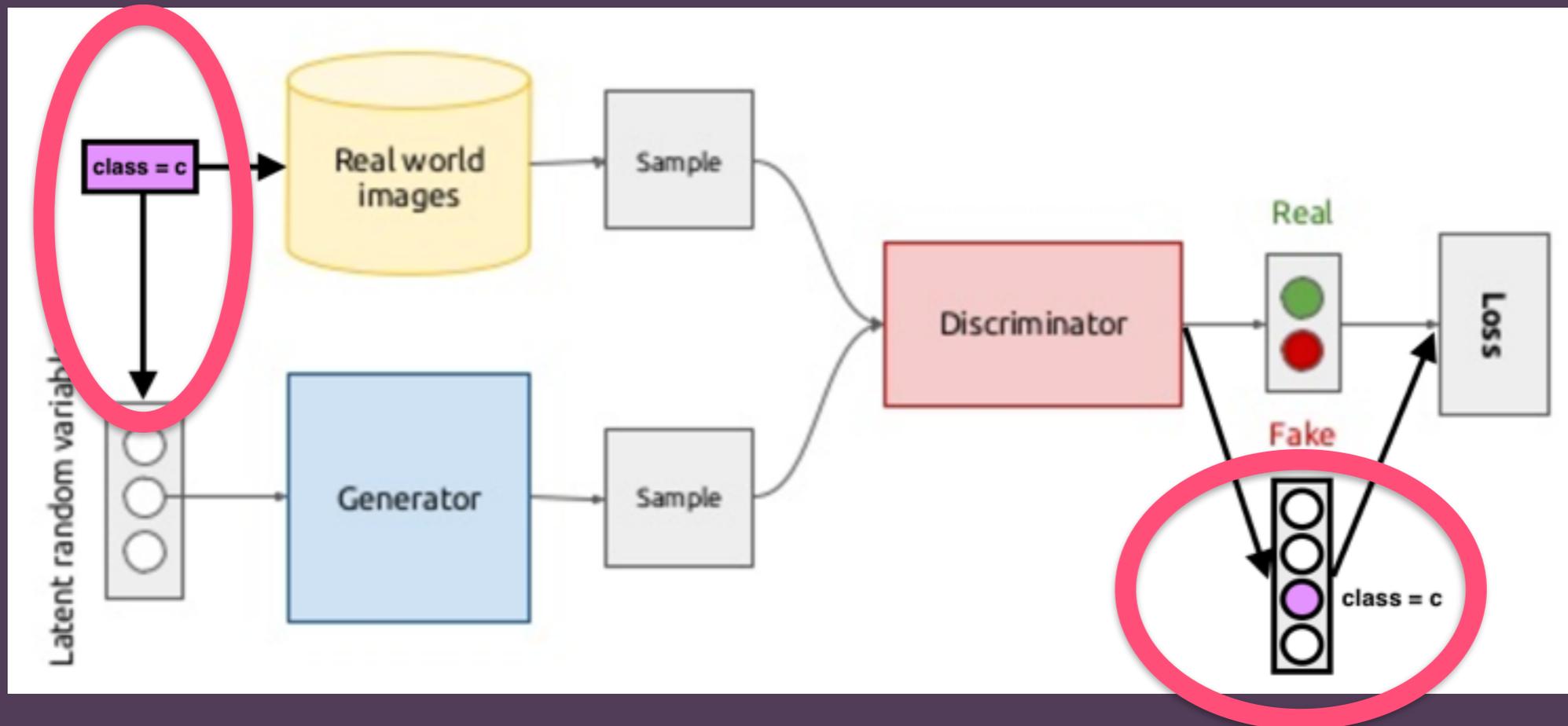
(Location Aware Generative Adversarial Network)



Starting from ACGAN

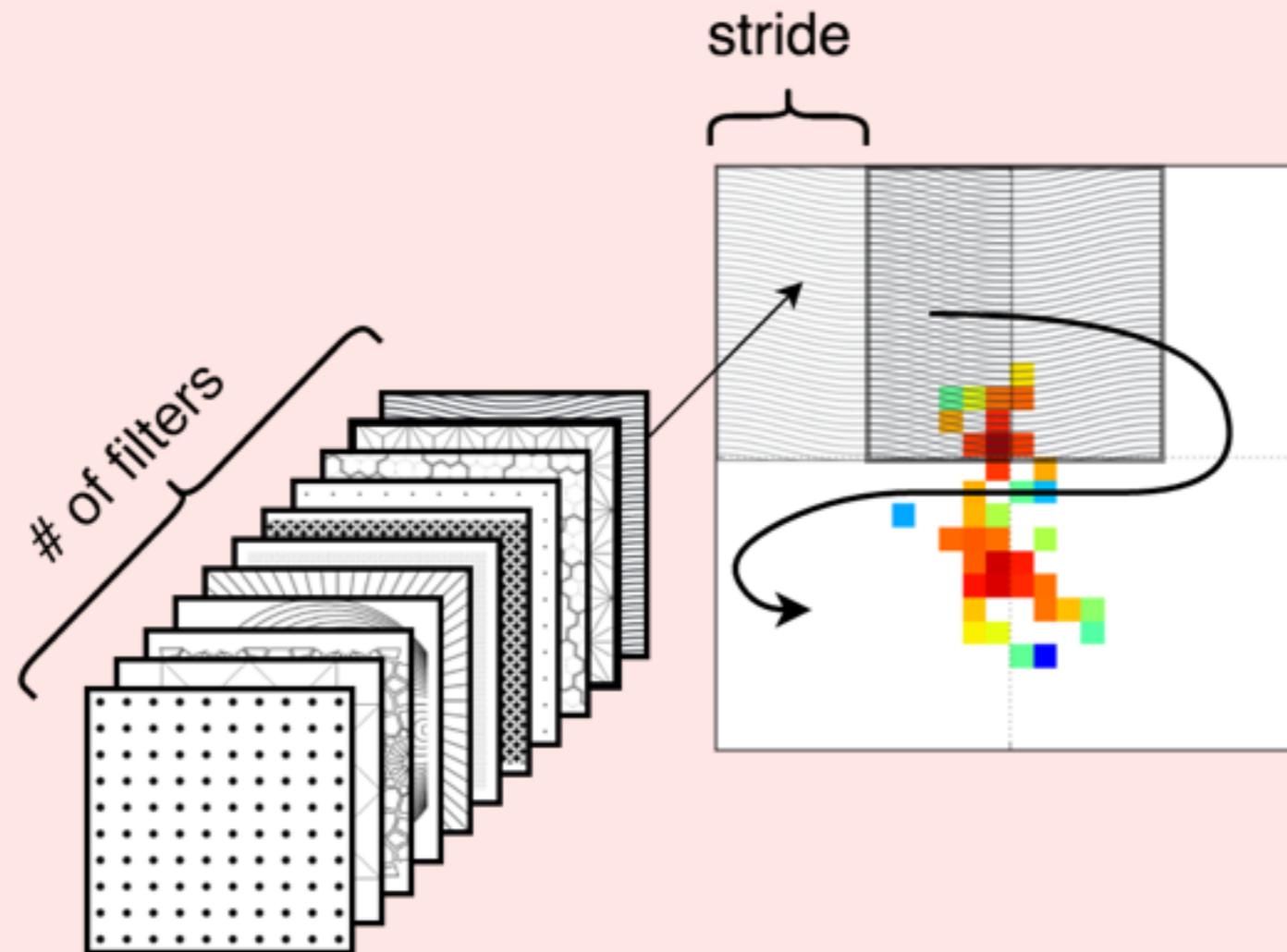
(Auxiliary Classifier GAN)

Request a specific class
(here, W or QCD)



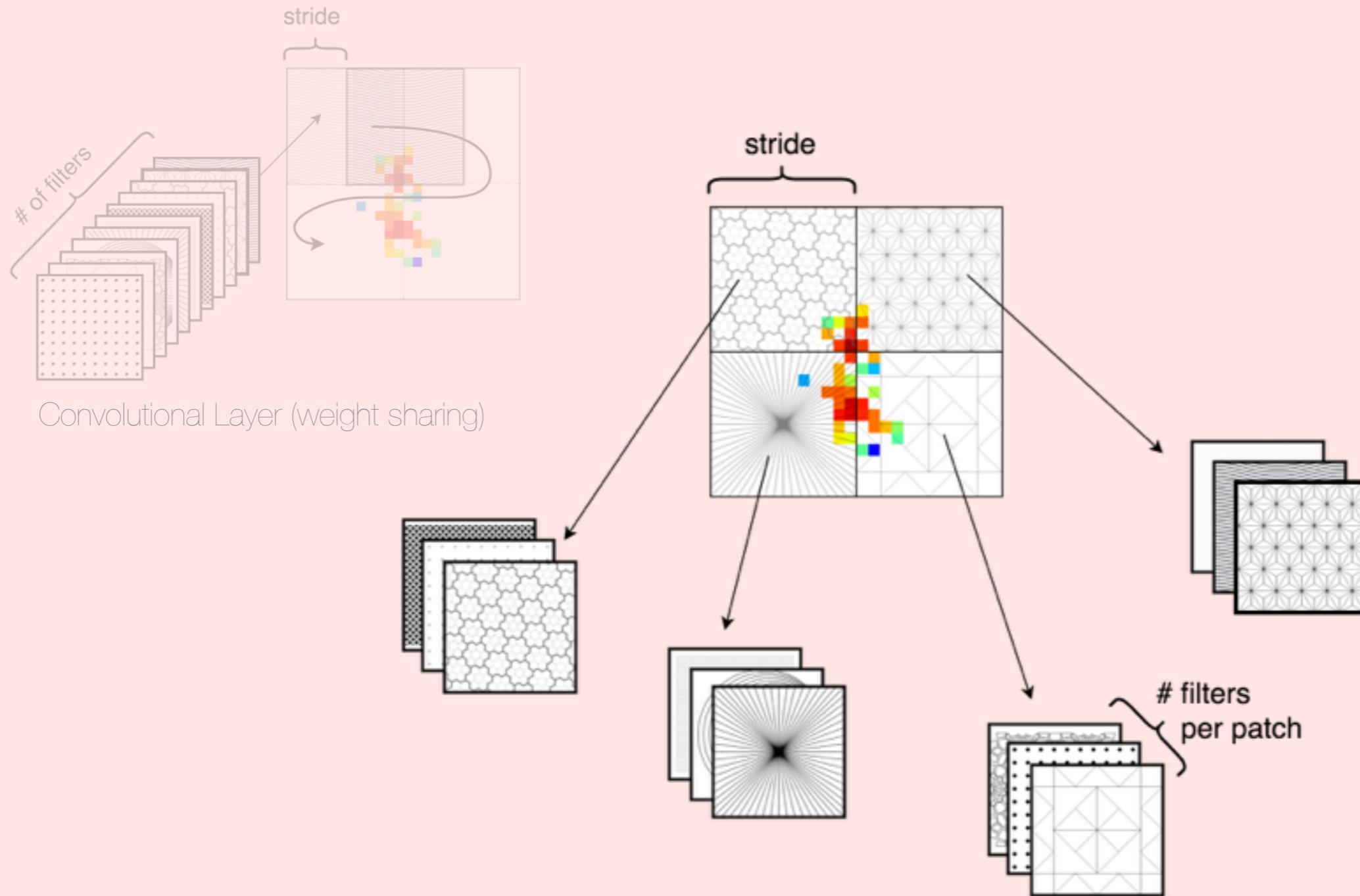
Correctly classify samples

Layer Comparison



Convolutional Layer (weight sharing)

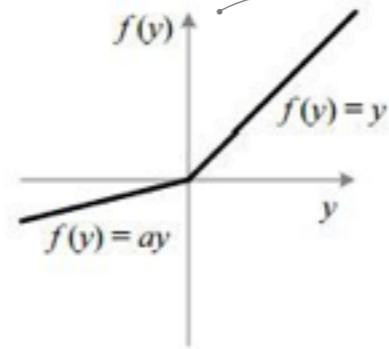
Layer Comparison



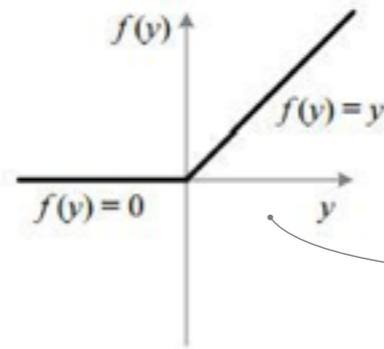
Locally-Connected Layer

Architecture Guidelines

- Activation:



Leaky ReLU

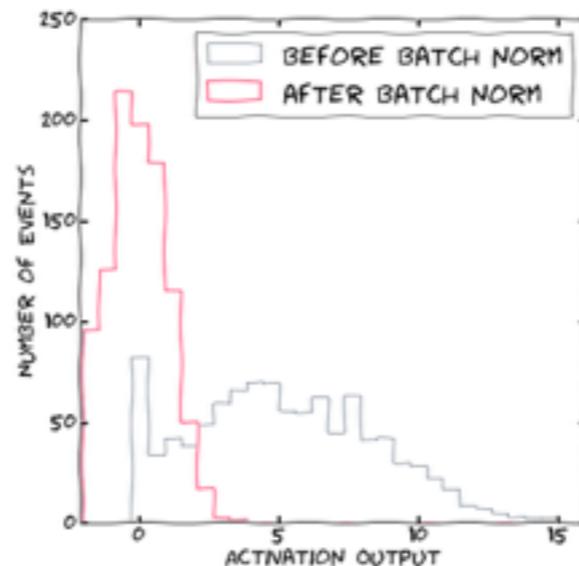


ReLU

recommended in GAN literature

to induce sparsity

- Batch Normalization:



helps with high dynamic range

- Mini-batch Discrimination:

helps reduce mode collapse



→ High Entropy



→ Low Entropy

Keras Generator

```
def generator(latent_size, return_intermediate=False):

    # this is the z space commonly referred to in GAN papers
    latent = Input(shape=(latent_size, ))

    # this will be our label
    image_class = Input(shape=(1, ), dtype='int32')
    emb = Flatten()(Embedding(2, latent_size, input_length=1,
                              init='glorot_normal')(image_class))

    # hadamard product between z-space and a class conditional embedding
    h = merge([latent, emb], mode='mul')

    loc = Sequential([
        # DCGAN-style project & reshape,
        Dense(128 * 7 * 7, input_dim=latent_size),
        Reshape((7, 7, 128)),

        # block 1: (None, 7, 7, 128) => (None, 14, 14, 64),
        Conv2D(64, 5, 5, border_mode='same', init='he_uniform'),
        LeakyReLU(),
        BatchNormalization(),
        UpSampling2D(size=(2, 2)),

        # block 2: (None, 14, 14, 64) => (None, 28, 28, 6),
        ZeroPadding2D((2, 2)),
        LocallyConnected2D(6, 5, 5, init='he_uniform'),
        LeakyReLU(),
        BatchNormalization(),
        UpSampling2D(size=(2, 2)),

        # block 3: (None, 28, 28, 6) => (None, 25, 25, 1),
        LocallyConnected2D(6, 3, 3, init='he_uniform'),
        LeakyReLU(),
        LocallyConnected2D(1, 2, 2, bias=False, init='glorot_normal'),
        Activation('relu')
    ])

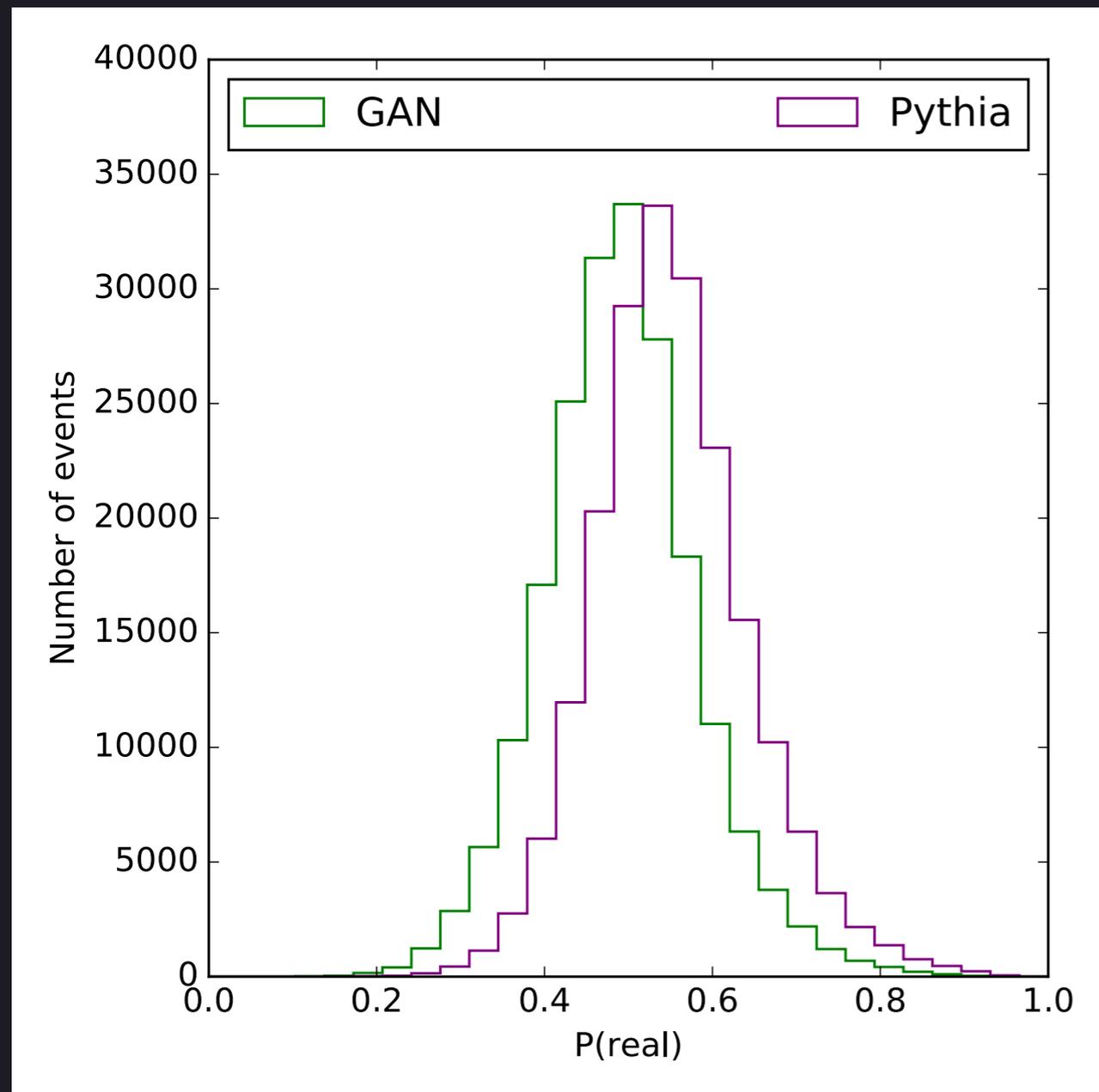
    fake_image = loc(h)

    return Model(input=[latent, image_class], output=fake_image)
```

Performance Evaluation

Discriminator Output

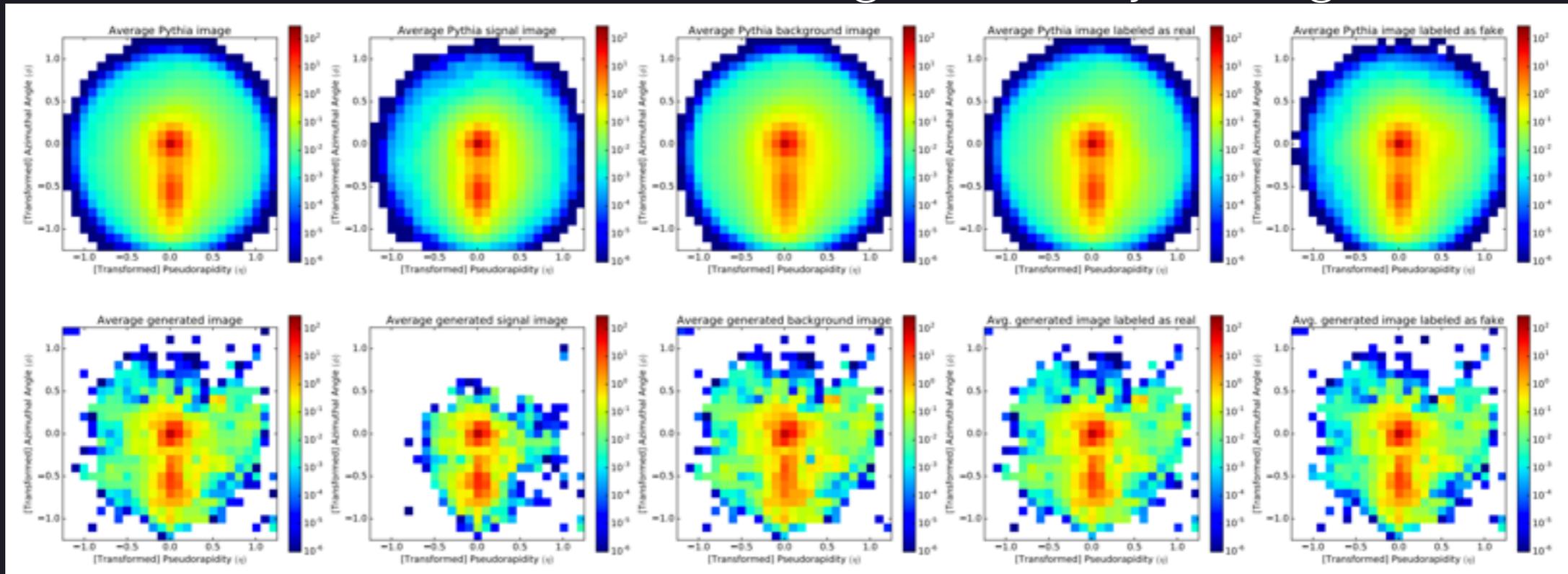
Output of the discriminator (primary task) at the epoch that was chosen to analyze the LAGAN performance in the paper:



- Ideal scenario: D outputs 1/2 for all samples, regardless of their origin
- For a well trained D , this corresponds to G producing realistic enough samples, so that D can't tell them apart from the real ones

Qualitative: Pythia vs LAGAN images

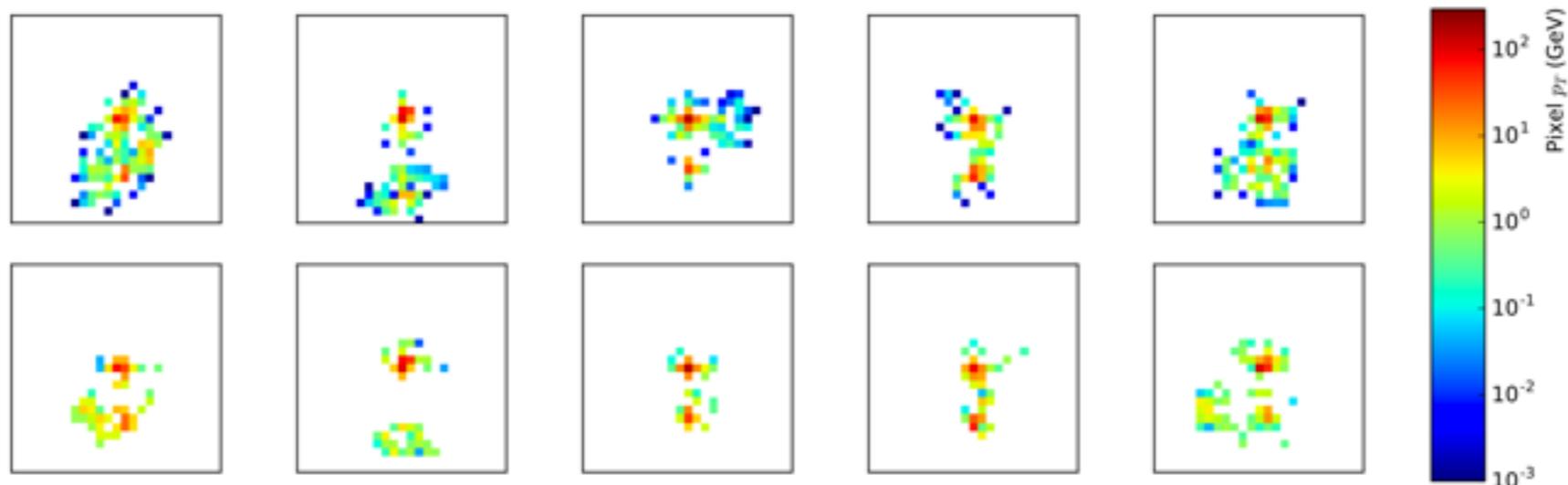
Qualitative assessment of generated jet images:



The LAGAN is not simply memorizing the training set

5 random Pythia images

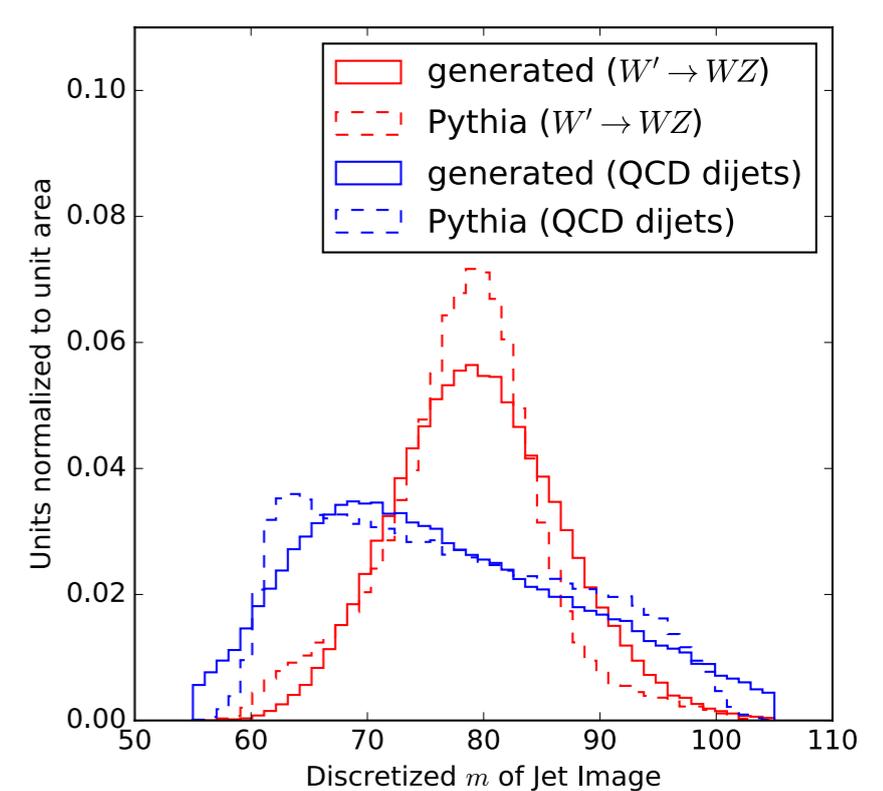
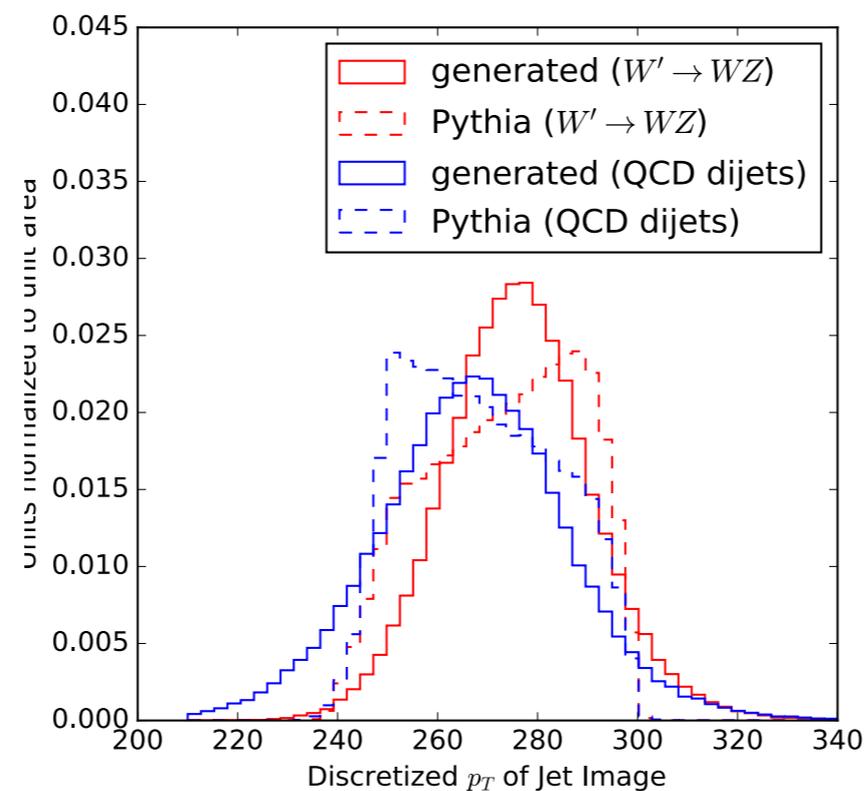
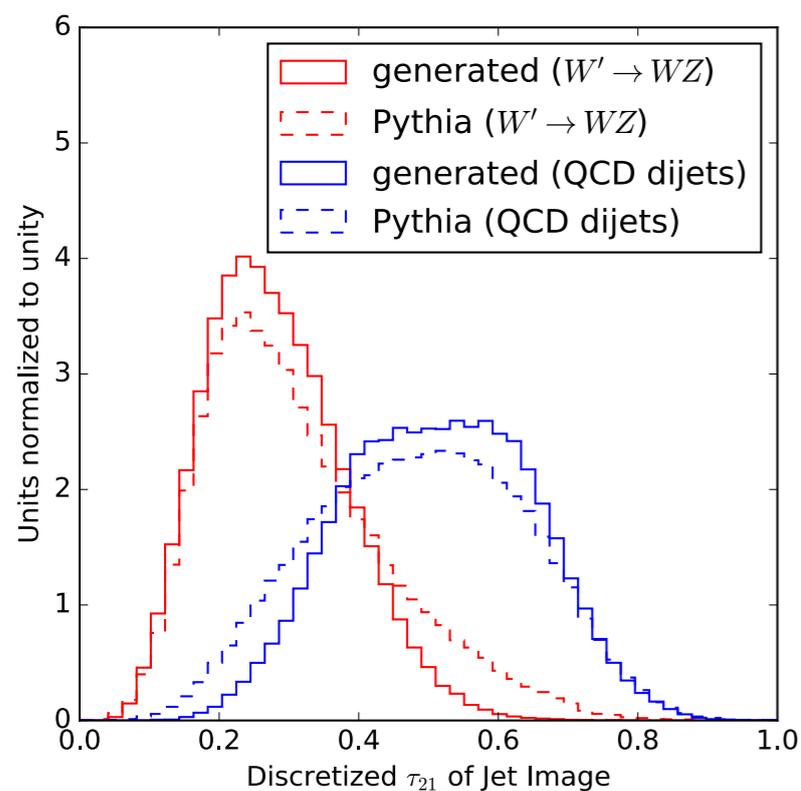
their nearest neighbor in the LAGAN generated dataset



Physical Distributions

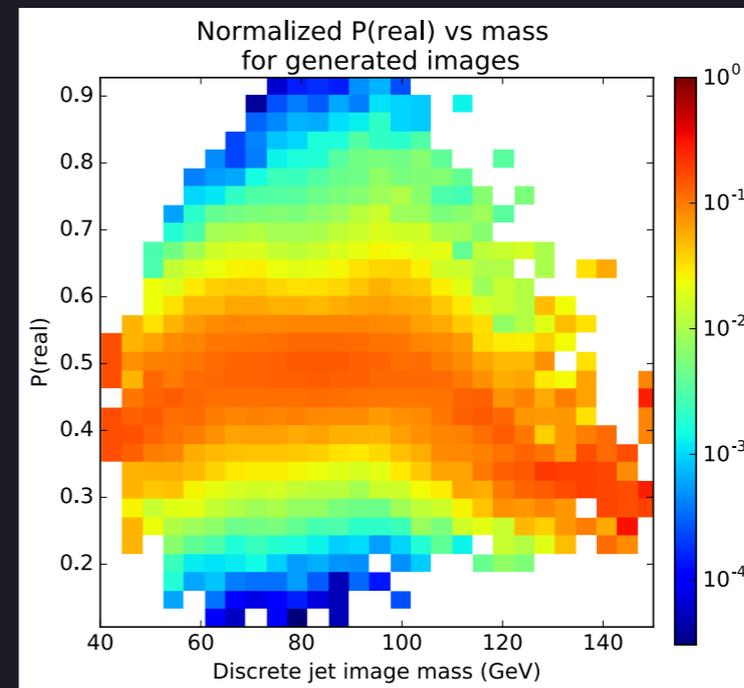
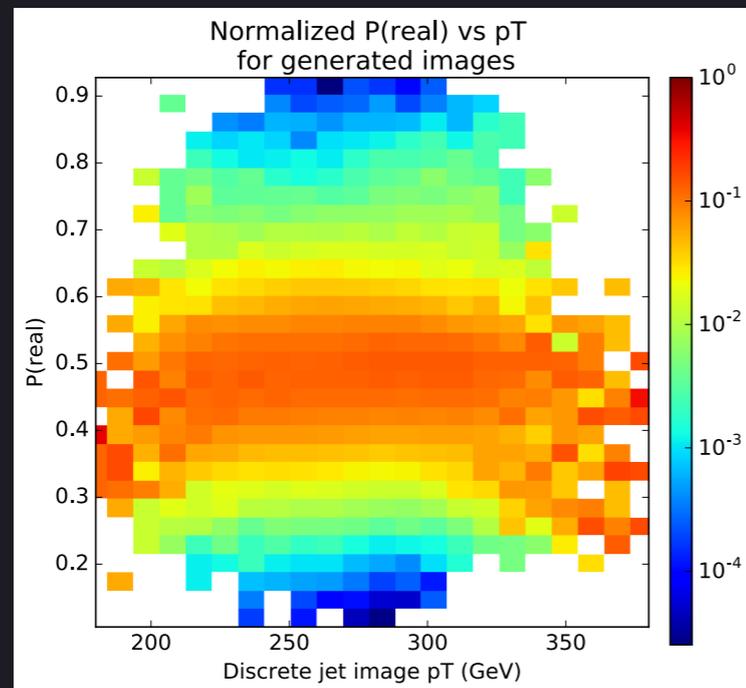
Check: does the LAGAN recover the true data distribution as projected onto a set of meaningful 1D manifolds? ✓

— signal
— background

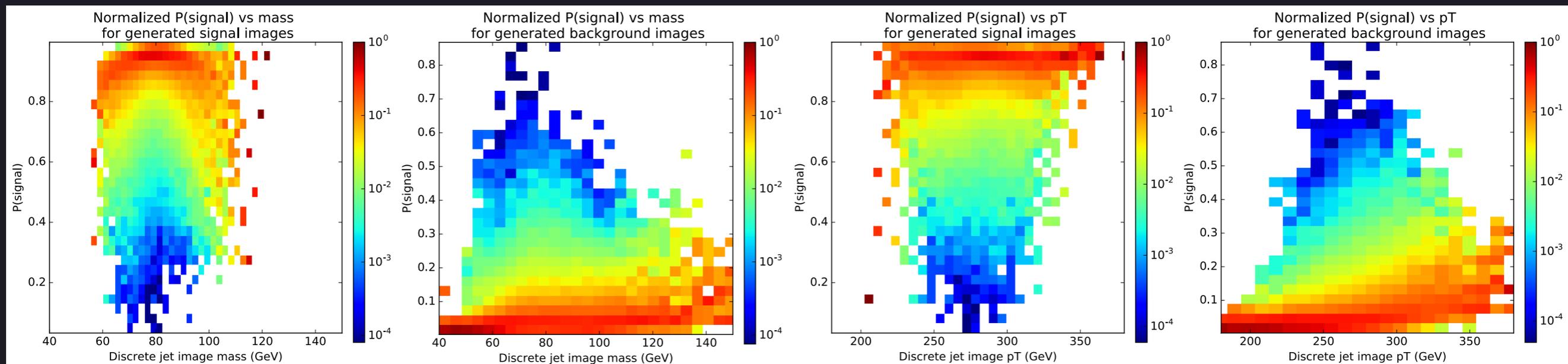


Discriminator output versus mass and pT

Primary classifier's performance is quite stable over whole range of m , p_T



Excellent performance for aux task. Small m and p_T dependent features



Performance

Distribution of any number of meaningful manifolds

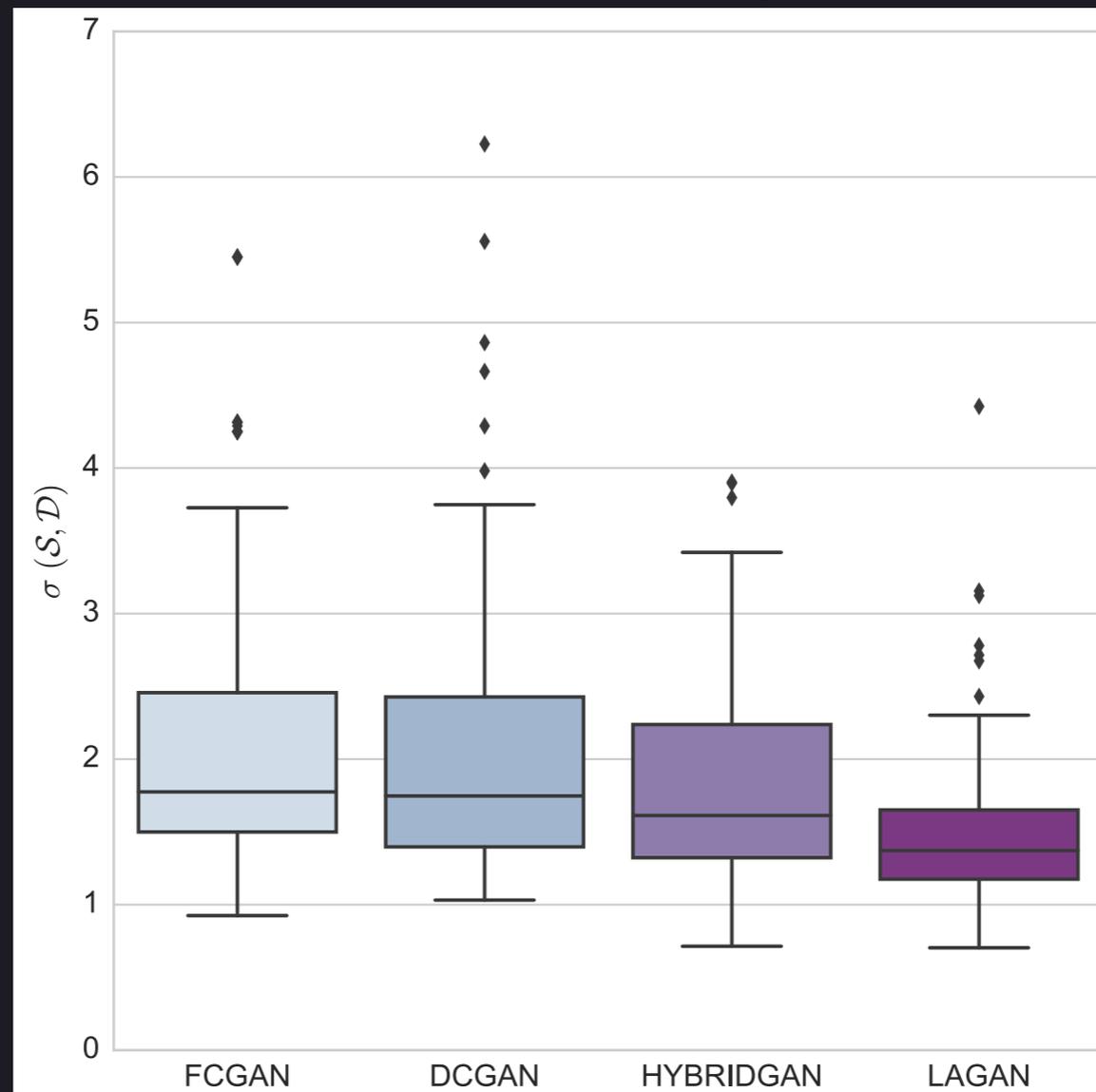
$$\sigma(\mathcal{S}, \mathcal{D}) = \max_{c \in \mathcal{C}} d(\mathcal{M}_{\mathcal{D}}(x|c), \mathcal{M}_{\mathcal{S}}(x|c))$$

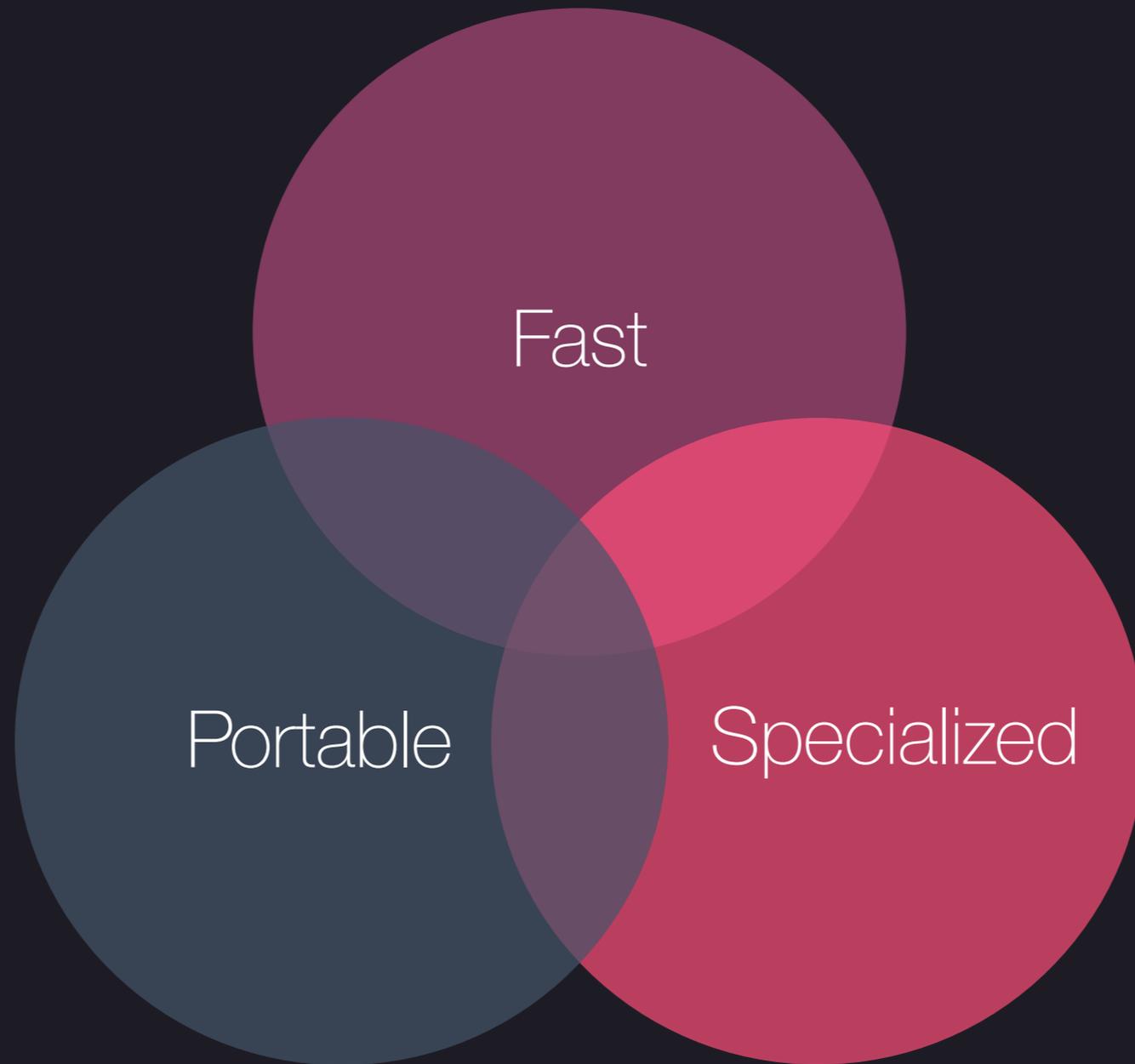
class

Distribution of generated images

True data distribution of jet images

Performance comparison among architectures

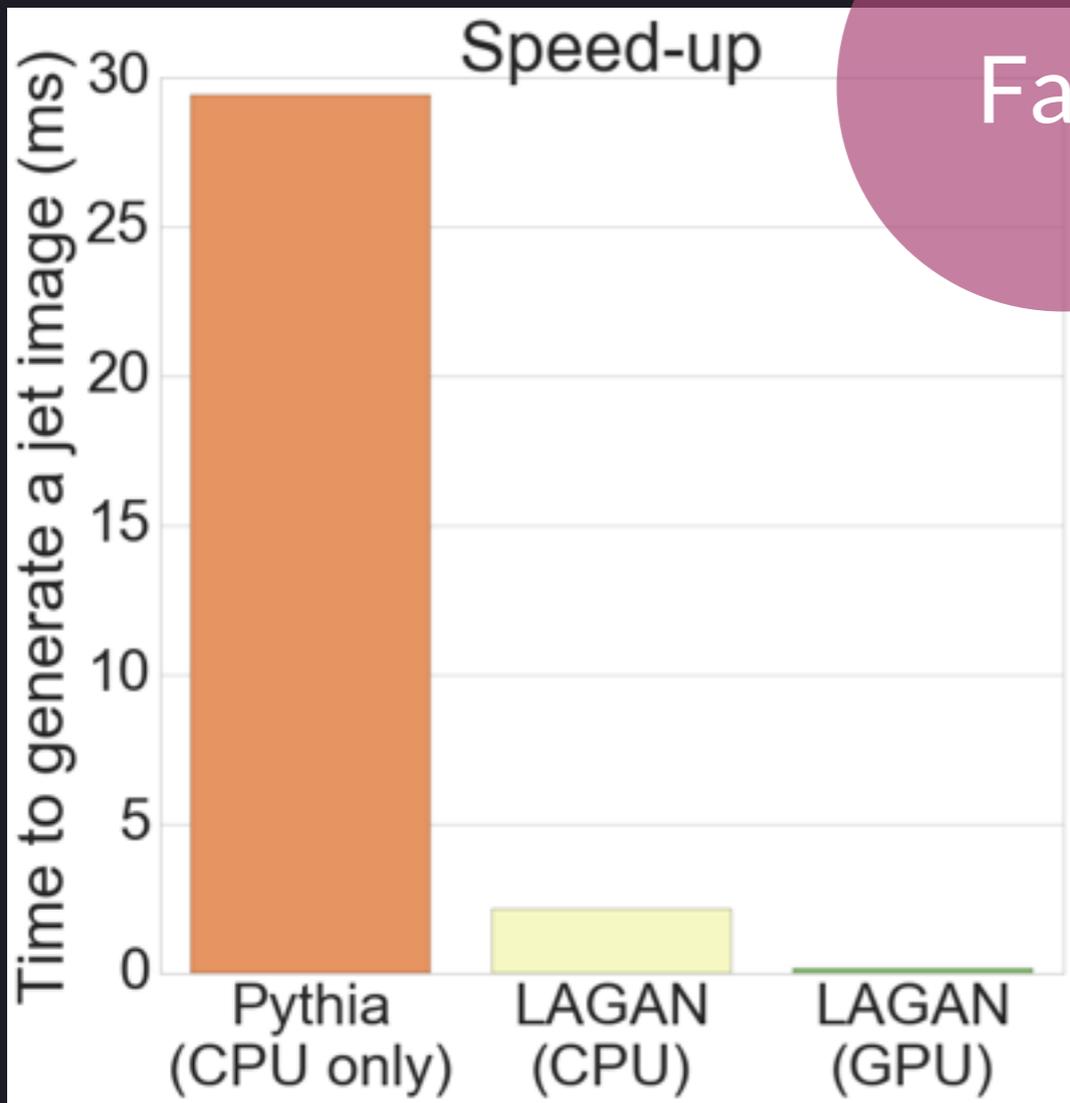




Fast

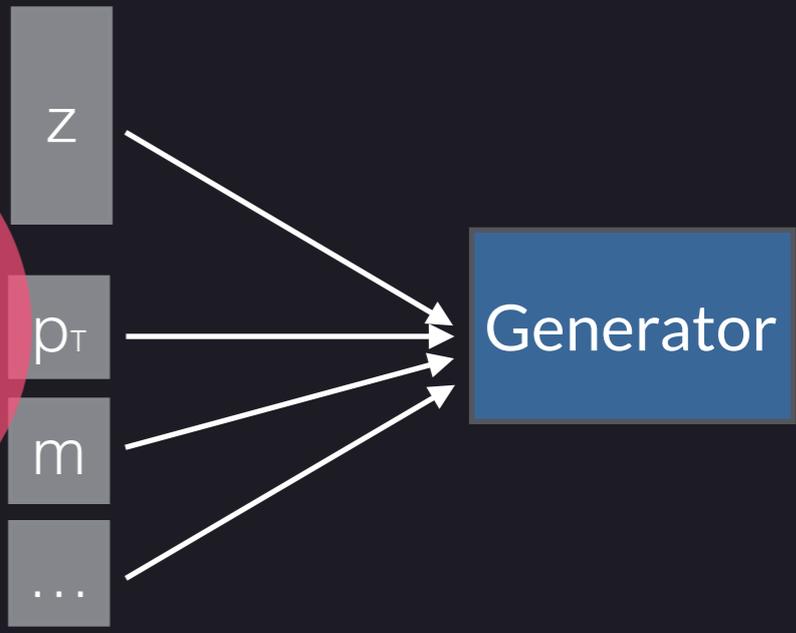
Portable

Specialized



Fast

Specialized



Portable

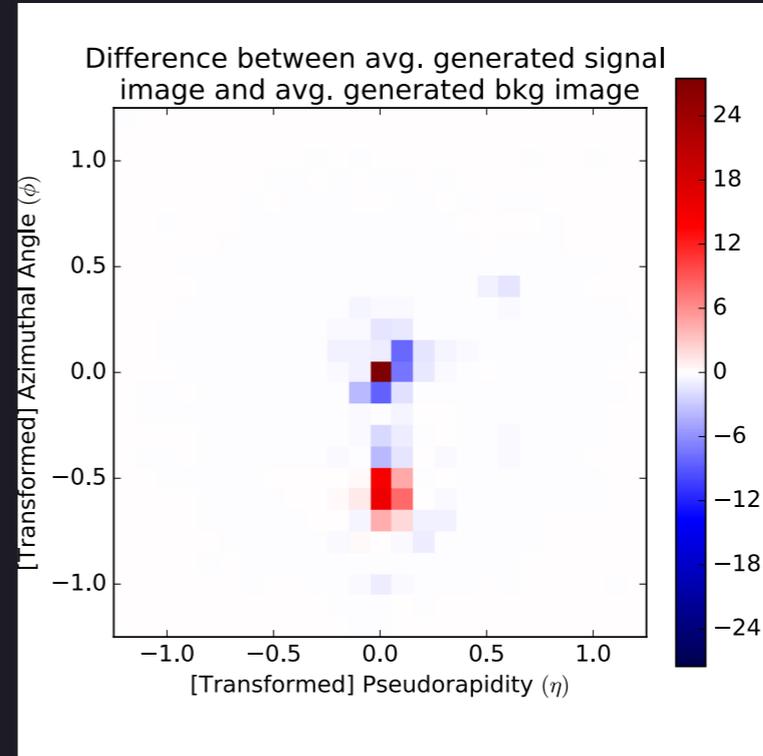
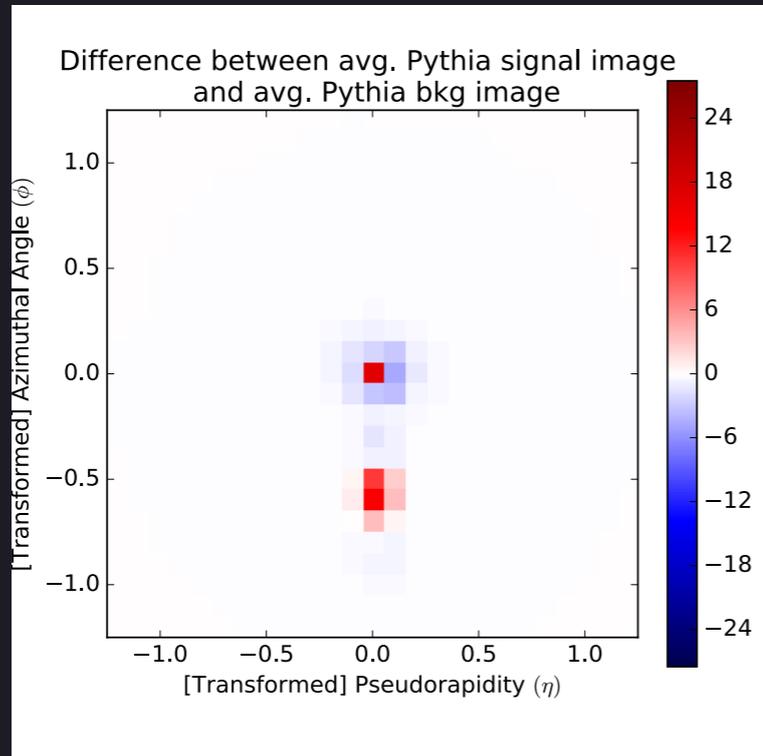
Trained LAGAN weights:
DOI [10.5281/zenodo.400706](https://doi.org/10.5281/zenodo.400706)
20 MB

Source code:
DOI [10.5281/zenodo.400708](https://doi.org/10.5281/zenodo.400708)
480 kB

Peeking through the Generator

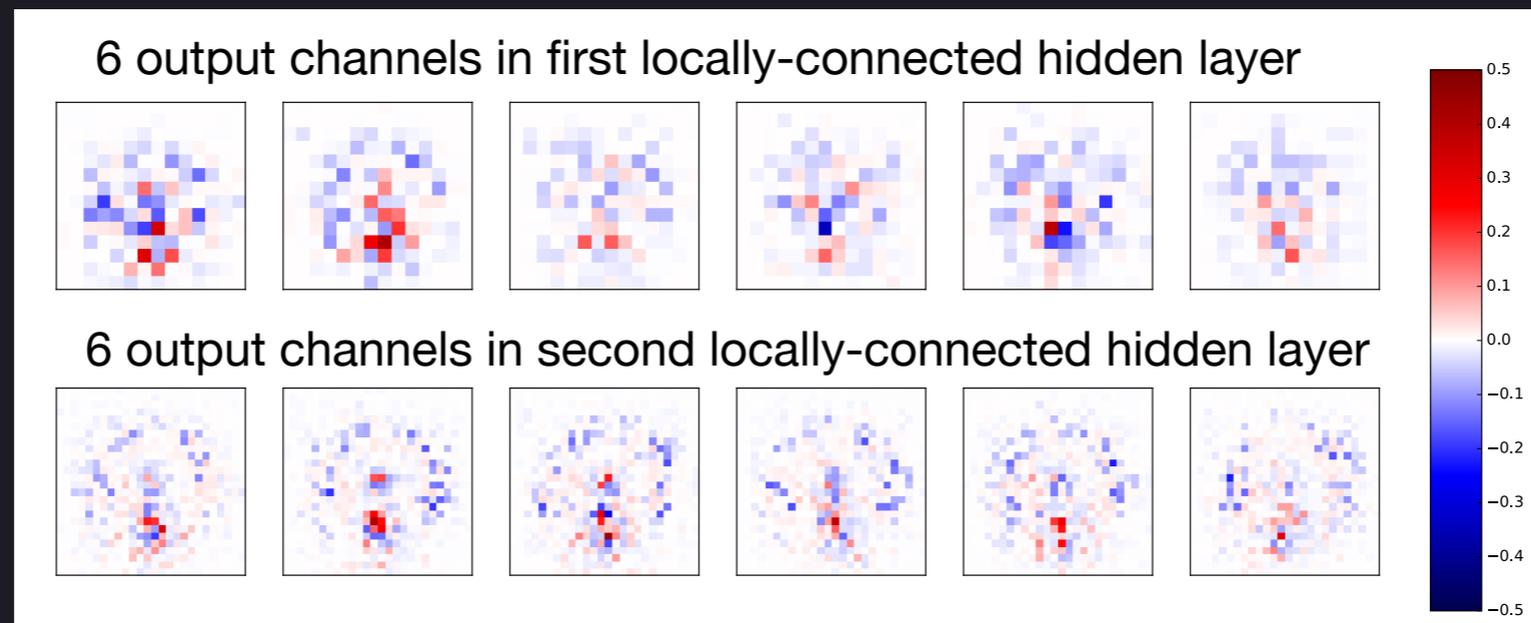
Location of physical features to distinguish W bosons from QCD

in Pythia



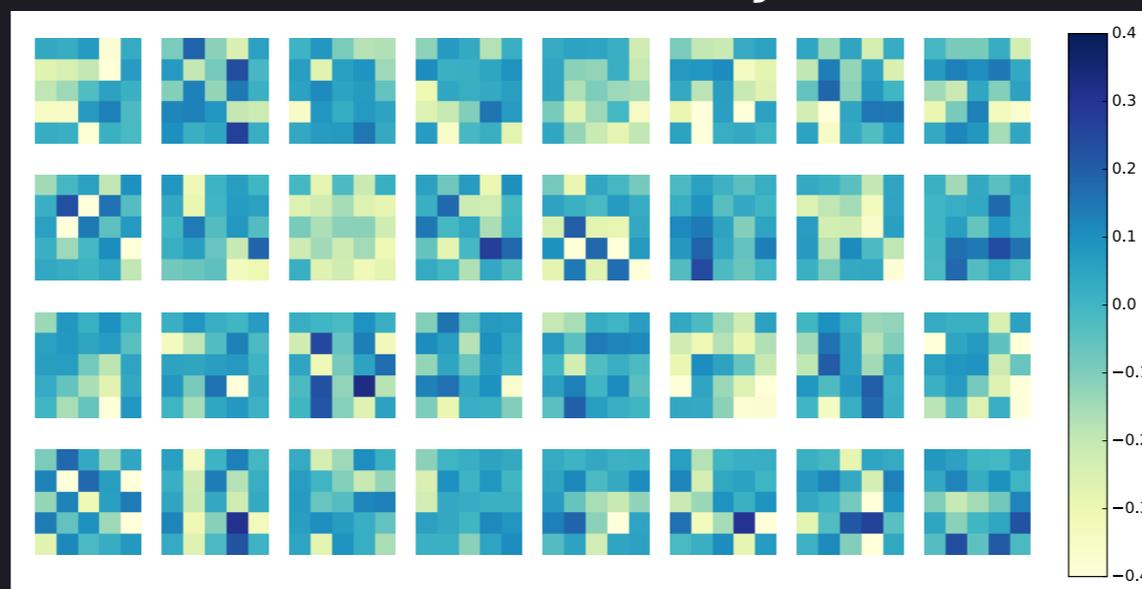
in LAGAN

How early do these features develop within the layers of the generator?



Peeking through the Discriminator

Convolutional filters in the first layer of the discriminator



their convolved version with the difference between the average signal and background generated image

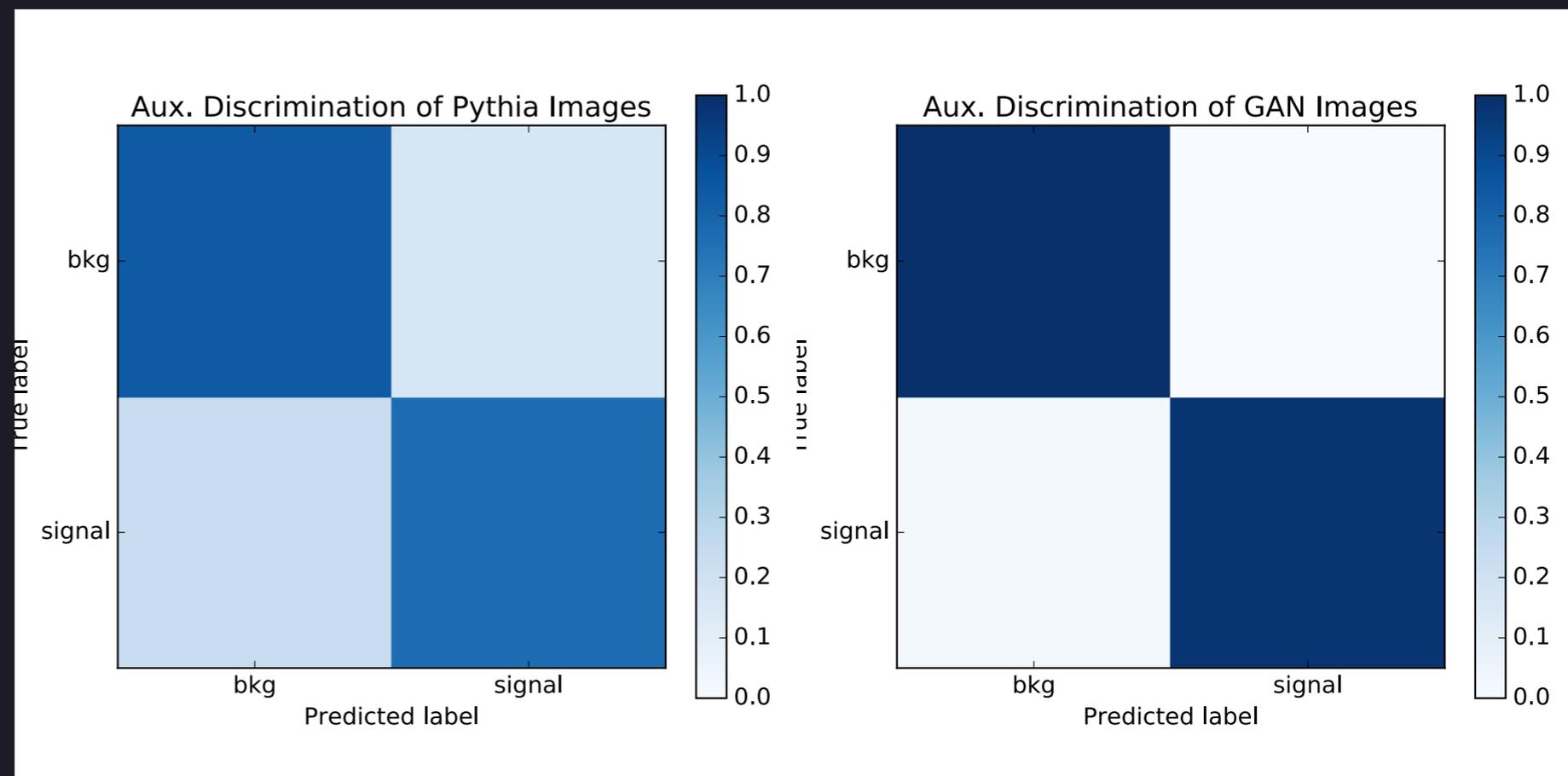


their convolved version with the difference between average Pythia and average generate image



GAN images for classification

- GAN produces very signal or very background looking images
- Unlikely to explore gray area between labels because it's unfavorable under loss formulation
- Label flipping should help addressing this issue — maybe not aggressive enough
- Output of aux task clearly shows this issue →
- GAN images still useful for data augmentation



Conclusion & Outlook

- Resized problem of generating simulation to first address the simplified 2D case, using jet images
- **GANs** — validated as a tool for scientific simulation
- **Interdisciplinary collaboration** needed
 - ▶ out-of-the-box, vanilla solutions are not enough
- **LAGAN** — optimized for sparse, unbounded, and highly non-linearly location-dependent data distributions

Reproduce This!

- This paper on the arXiv [[1701.05927](#)]
- [Github repository](#): centralized location with all links
- Download the [training dataset](#) of Pythia jet images, or generate them yourself using [this Docker image](#)
- Train a DCGAN, fully-connected GAN, hybrid GAN, or LAGAN using the code we provide in **models**, or load our [pre-trained weights](#)
- Use the jupyter notebook in **analysis** to make plots like ours

